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<b>(54) Title:</b> DIAGNOSIS OF A PERSON'S RISK FOR DEVELOPING ATHEROSCLEROSIS OR DIABETIC RETINOPATHY  <b>(57) Abstract</b> <p>The invention relates to methods for diagnosing a person's susceptibility for having an increased risk for the development of atherosclerosis and a diabetic person's susceptibility for having an increased risk for the development of diabetic retinopathy. The invention relates further to methods for treating persons diagnosed for having increased risk for the development of said diseases. The invention also concerns methods to investigate or screen pharmaceuticals or genetic aims useful in the treatment of said diseases, by using an animal model including a transgenic animal. The methods of the invention are based on Leucine 7 to proline 7 polymorphism in the prepro neuropeptide Y gene.</p>		

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## DIAGNOSIS OF A PERSON'S RISK FOR DEVELOPING ATHEROSCLEROSIS OR DIABETIC RETINOPATHY

## 5 FIELD OF THE INVENTION

This invention relates to methods for diagnosing a person's susceptibility for having an increased risk for the development of atherosclerosis and a diabetic person's susceptibility for having an increased risk for the development of diabetic  
10 retinopathy. The invention relates further to methods for treating persons diagnosed for having increased risk for the development of said diseases, in order to prevent the development of said diseases. The invention also concerns methods to investigate or screen pharmaceuticals or genetic aims useful in the treatment of said diseases, by using an animal model including a transgenic animal.

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## BACKGROUND OF THE INVENTION

The publications and other materials used herein to illuminate the background of the invention, and in particular, cases to provide additional details respecting the  
20 practice, are incorporated by reference.

Neuropeptide Y (NPY) is a member of the pancreatic polypeptide family and neuromodulator that is secreted widely by neurons of the central and peripheral nervous systems and it is the most abundant peptide in the brain and in the heart (1-  
25 4). NPY is the most potent orexigenic neuropeptide and may have tonic inhibitory action on leptin mediated satiety signal (2-3,5). NPY stimulates insulin secretion (6) and insulin-induced glucose uptake in normal rats (7). In contrast, insulin and insulin-like growth factor II suppress hypothalamic NPY release (8). In animal models of obesity and Type 2 diabetes, enhanced activity of NPY neurons due to  
30 hypothalamic resistance of insulin inhibition may contribute to hyperphagia,

reduced energy expenditure and obesity (9). Further, NPY participates in the control on hypothalamic-pituitary-adrenal axis (10). In the cardiovascular system NPY is a vasoconstrictor, it inhibits the release of norepinephrine and potentiates the norepinephrine response (11). Interestingly, in experimental diabetes cardiorespiratory responses to NPY have been shown to be altered (12-13). Further, NPY may have angiogenic properties (4) that could enhance the development of atherosclerosis. The widespread effects of NPY are mediated by several different subtypes of NPY receptors (14). We identified a rather common leucine<sup>7</sup> to proline<sup>7</sup> polymorphism (Leu<sup>7</sup>/Pro) very recently (15). This polymorphism was found to be associated with significantly higher serum total- and LDL cholesterol levels particularly in obese subjects in two independent Finnish and one Dutch study population. Further, apolipoprotein B levels were elevated in non-diabetic subjects with Leu<sup>7</sup>/Pro-polymorphism in one of these populations (15). Although the biochemical and physiological link between cholesterol metabolism and NPY is currently not known, the Leu<sup>7</sup>/Pro-polymorphism of NPY gene should be considered as a new genetic marker for high cholesterol levels in obese subjects.

#### SUMMARY OF THE INVENTION

20 According to one aspect, this invention concerns a method for diagnosing a person's susceptibility for having an increased risk for the development of atherosclerosis, said method comprising determining whether said subject has a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, said polymorphism being indicative of an increased risk for the development of atherosclerosis.

According to another aspect, the invention concerns a method for diagnosing a diabetic person's susceptibility for having an increased risk for the development of diabetic retinopathy, said method comprising determining whether said subject has

a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, said polymorphism being indicative of an increased risk for the development of diabetic retinopathy.

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According to a third aspect, the invention concerns a method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis, or for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy, for the prevention of developing any of said diseases, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

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According to a fourth aspect, the invention concerns a method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis, or for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy, for the prevention of developing any of said diseases, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY signal peptide sequence.

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According to a fifth aspect, the invention concerns a method to investigate or screen pharmaceuticals or genetic aims useful in the treatment of atherosclerosis or diabetic retinopathy, by using an animal model including a transgenic animal which carries a human DNA sequence comprising a nucleotide sequence encoding a prepro-neuropeptide Y (preproNPY) or part thereof encoding mature human NPY peptide, where the leucine amino acid in position 7 of the signal peptide part of said preproNPY i) is unchanged or ii) has been replaced by proline.

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According to a sixth aspect, the invention concerns a method to investigate or screen pharmaceuticals or genetic aims useful in the treatment of atherosclerosis or diabetic retinopathy, by using an animal model including a transgenic animal, which

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carries a DNA sequence comprising a nucleotide sequence encoding otherwise normal mouse NPY sequence or part thereof encoding mature mouse NPY peptide, but in which the nucleotide sequence encoding the mouse signal peptide is replaced by human signal peptide sequence encoding either normal or mutated human signal peptide.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1a illustrates schematically the molecular structure of the human NPY gene, the preproNPY peptide and the mature NPY peptide,

Figure 1b shows the nucleotide sequence of the human NPY gene. Upper case indicates exonic sequences and lower case intronic sequences. Genbank accession numbers are given in parenthesis. The arrow shows the position in which thymidine (T) of the normal gene is replaced by cytosine (C) to give the mutant gene. The underlined sequence in Exon 2 is the sequence encoding the signal peptide of 28 amino acids (Exon 1 is SEQ ID NO:1, exon 2 is SEQ ID NO:2, exon 3 is SEQ ID NO:3 and exon 4 is SEQ ID NO:4), and

Figure 1c shows the nucleotide sequence of the human preproNPY mRNA (SEQ ID NO:5, with the protein sequence set forth in SEQ ID NO:6). The arrow shows the position in which thymidine (t) of the normal mRNA is replaced by cytosine (c) to give the mutant mRNA.

#### DETAILED DESCRIPTION OF THE INVENTION

Neuropeptide Y (NPY) is a 36-amino-acid neurotransmitter widely present in the central and peripheral nervous systems. NPY has multiple actions, which control body energy balance and cardiovascular function. We have recently demonstrated that the subjects having Pro7 in the signal peptide of NPY have higher serum

cholesterol and apolipoprotein B levels when compared to individuals having wildtype (Leu7/Leu7) signal peptide sequence. The present invention is based on a study of the association of Leu7 to Pro polymorphism of the NPY gene with common carotid intima-media-thickness (IMT) assessed by ultrasonography cross-sectionally from the 10-year follow-up study of newly diagnosed patients with Type 2 diabetes (81 patients, 41 males, mean age 67.1 years) and in non-diabetic subjects (105 subjects, 48 males, mean age 65.5 years) who were genotyped for Leu7Pro polymorphism in preproNPY gene. The carrier frequency of the Pro7 substitution was 9.9 % in diabetic patients and 14.3 % in control subjects ( $p=0.360$ ). The mean common carotid IMT was in non-diabetic subjects without Leu7Pro polymorphism  $1.04 \pm 0.02$  and with it  $1.14 \pm 0.04$  mm ( $p=0.156$ ) and in diabetic patients  $1.18 \pm 0.03$  and  $1.58 \pm 0.21$  mm ( $p=0.004$ ), respectively. In the analysis of covariance of the entire group the mean common carotid IMT was independently associated with the Leu7Pro-polymorphism ( $F=5.165$ ,  $p=0.024$ ). The model included age, gender, diabetes, clinical macrovascular disease, smoking, systolic blood pressure and LDL-cholesterol. Furthermore, diabetic patients having the Pro7 in preproNPY had significantly more often diabetic retinopathy ( $p=0.04$ ) when compared to patients with the Leu7/Leu7 genotype. The present study indicates that the presence of Pro7 substitution in the preproNPY is strongly associated with increased carotid atherosclerosis in diabetic and non-diabetic subjects, even after adjustment for known risk factors. Furthermore, this is the first evidence that Pro7 in the preproNPY increases the risk of type 2 diabetic patients to develop diabetic retinopathy.

The DNA sequence or the mutant signal peptide or said peptide associated with any other cleavage product of preproNPY can be used for screening a subject to determine if said subject is a carrier of a mutant NPY gene.

The determination can be carried out either as a DNA analyse according to well known methods, which include direct DNA sequencing of the normal and mutated

NPY gene, allele specific amplification using the polymerase chain reaction (PCR) enabling detection of either normal or mutated NPY sequence, or by indirect detection of the normal or mutated NPY gene by various molecular biology methods including e.g. PCR- single stranded conformation polymorphism (SSCP)-  
5 method or denaturing gradient gel electrophoresis (DGGE). Determination of the normal or mutated NPY gene can also be done by using restriction fragment length polymorphism (RFLP)-method, which is particularly suitable for genotyping large number of samples.

10 The determination can also be carried out at the level of RNA by analysing RNA expressed at tissue level using various methods. Allele specific probes can be designed for hybridization. Hybridization can be done e.g. using Northern blot, RNase protection assay or in situ hybridization methods. RNA derived from the normal or mutated NPY gene can also be analysed by converting tissue RNA first to  
15 cDNA and thereafter amplifying cDNA by an allele specific PCR-method and carrying out the analysis as for genomic DNA as mentioned above.

Alternatively, the determination can be carried out as an immunoassay where a sample is contacted with an antibody capable of binding the signal peptide or said  
20 peptide associated with any other cleavage product of preproNPY.

Antibodies can be raised against normal or mutated preproNPY or more specifically against normal or mutated signal peptide part of the NPY. The production of antibodies can be done in experimental animals in vivo to obtain polyclonal  
25 antibodies or in vitro using cell lines to obtain monoclonal antibodies.

A person diagnosed for having an increased risk for the development of atherosclerosis, or a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy, can be treated for the prevention of developing  
30 any of said diseases administering to said subject an effective amount of an agent

counteracting the influence of the mutated NPY gene. This can be done by specific gene therapy aimed to repair the mutated NPY sequence, or by administering pharmacotherapies, which are aimed to modulate synthesis, release or metabolism of the endogenous NPY, or to interact in a specific manner at NPY target sites by modulating effects of NPY with specific NPY receptor proteins. Currently, five different subtypes of NPY receptors have been cloned and characterized (Y1-Y5 receptors) and drug molecules specifically interacting with these NPY receptors have been synthesized. The pharmacotherapy described is not limited to only these named receptors or mechanisms, but also covers other NPY receptors and related mechanisms to be discovered including the secretion of NPY.

Counteracting the influence of the mutated NPY gene in a patient by using an antisense therapy or gene switching or replacement, which includes targeted correction of disease-related mutation or site-directed inactivation of the mutant allele by homologous recombination.

The antisense therapy refers to methods designed to impair translation through direct interactions with target messenger RNA (mRNA). This can be accomplished by applying a targeted oligonucleotide, which forms Watson-Crick base pairs with the messenger RNA whose function is to be disrupted. The inhibition of gene expression by antisense oligonucleotide depends on the ability of an antisense oligonucleotide to bind a complementary mRNA sequence and prevent the translation of the mRNA. It is possible to correct a single mutant base in a gene by using an oligonucleotide based strategy (Giles et al., 1995; Schwab et al., 1994; Yoon et al., 1996). A short, 7 or 8 bases, oligonucleotide is enough to possess an antisense activity and specificity, which depends greatly on the flanking sequences of the target RNA. Binding should be enough to promote stable binding and RNase H-mediated cleavage.

- We are counteracting the influence of the mutated NPY gene by using a short, allele specific oligonucleotide, which includes the sequence of mutated part: ...cga ct/cg ggg...(mutated base marked on bold). This can be accomplished by using oligonucleotides of various lengths, but all recognizing the mutated base sequence.
- 5 According to the predicted secondary structure of the preproNPY mRNAs (Fig 1 and 2), the best target sequence is between -9 and +2 bases around the mutation i.e. sequence targeting to 3'-ac aag cga ctg g-5'. This sequence contains 'bulbs' which are known to enhance the binding of oligonucleotide to the target mRNA.
- 10 It is possible to use unmodified oligonucleotides, but to increase their stability, nuclease resistance, and penetration to the nucleus, several modifications of oligonucleotide can be used. A relatively large number of modified pyrimidines have been synthesized, mainly C-2, C-4, C-5, and C-6 sites, and incorporated into nucleotides. Also purine analogs can be synthesized and incorporated into
- 15 oligonucleotides. The 2' position of the sugar moiety, pentofuranose ring, is substituted with methoxy, propoxy, O-alkoxy or methoxyethoxy groups. A new backbone for oligonucleotides that replace the phosphate or the sugar-phosphate unit has been made, like C-5 propynylpyrimidine-modified phosphothioate oligonucleotides. Also chimeric oligonucleotides with 5'- and 3'-ends are modified
- 20 with internucleotide linkages, like methylphosphorothioate, phosphodiester, or methylphosphonate can be used. A relatively new technique is conformationally restricted LNA (locked nucleic acid) oligonucleotides and peptide nucleic acids. Bioengineered ribozymes are structurally different, but their specificity also rely on the recognition of the targeted mRNA sequence.
- 25 Gene replacement or gene switching techniques inactivate the mutated gene sequence and introduce a corrected one. This can be accomplished by transfecting exogenous gene with normal coding sequence and blocking mutant coding sequence with antisense oligonucleotide. Also a technique with only introducing a corrected
- 30 normal sequence without disrupting the mutated sequence could be use. This could

be used in heterozygous cells i.e. cell carrying one normal allele and one mutated allele resulting in an overexpression of normal alleles. Also homozygous mutant cells could be treated resulting in a dominant positive -effect i.e. the normal allele is expressed in higher degree than the mutant allele.

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Influence of the mutated NPY sequence on the function of NPY gene can be investigated in transgenic animals. A transgenic animal can be generated using targeted homologous recombination methodology. Both normal and mutated sequence of human NPY signal peptide (or any DNA sequence comprising a nucleotide sequence encoding a prepro-neuropeptide Y (preproNPY) or part thereof encoding the amino acid sequence of the mature mouse or human mature NPY peptide, where either i) the leucine amino acid in position 7 of the signal peptide part of said preproNPY has been replaced by proline or ii) the leucine amino acid in position 7 of the signal peptide part of said preproNPY is unchanged) will be introduced into the sequence of NPY gene to replace the endogenous signal peptide sequence. Under these conditions, the endogenous NPY gene functions otherwise normally, but the synthesis of the preproNPY is regulated by either normal or mutated human NPY signal peptide sequence. This transgenic model can be used to investigate in a very specific manner the physiological importance of the mutated NPY gene. It also will provide an ideal preclinical model to investigate and screen new drug molecules, which are designed to modify the influence of the mutated NPY gene.

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The invention is described more in detail in the following experiments.

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## EXPERIMENTAL

### Study design

5 This study was a cross-sectional analysis from the 10-year examination of a cohort of patients with Type 2 diabetes and nondiabetic control subjects followed up from the time of diagnosis, as described earlier in detail (16-22). In brief, the original study comprised 133 patients with newly diagnosed Type 2 diabetes, aged 45 to 64 years, and 144 nondiabetic control subjects randomly selected from the population register. The baseline study was carried out during the years 1979-81 and all 10 subjects were collected from a defined area in Eastern Finland (16). All the subjects were invited for the 5- and 10-year follow-up examinations during the years 1985-86 (17) and 1991-92 (18-19), respectively. During the 10-year follow-up 36 (27 %) diabetic patients and eight (6 %) nondiabetic subjects died, mainly due to 15 cardiovascular diseases (18). At the 10-year examination, carotid ultrasonographic examinations (20-21) were performed for 84 (63 %) of the original diabetic and 119 (83 %) of the nondiabetic populations and genotype analysis was made for all these except for three diabetic and one non-diabetic subject. The study was approved by the Ethics Committee of the University of Kuopio.

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### Subjects and methods

The assessment of medical history and cardiovascular diseases, the use of medication, smoking, blood pressure, body-mass index (BMI) and waist-to-hip 25 circumference ratio have been described in detail previously (18-22). The group "macrovascular disease" refers to subjects with any previously defined evidence of myocardial infarction, stroke or intermittent claudication. An oral glucose tolerance test was performed by using a glucose dose of 75 g. The impaired glucose tolerance in control subjects was classified according to the WHO criteria (23). The collection 30 of blood specimens and the measurement of serum lipid and lipoproteins by

ultracentrifugation and precipitation methods, apolipoprotein B, plasma glucose and plasma insulin have been likewise presented previously (19-22).

#### Genotype analysis

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PreproNPY genotype was determined by restriction fragment length polymorphism (RFLP) analysis from DNA extracted from the subjects peripheral blood by an investigator unaware of phenotype. Briefly, the polymorphism appears as a thymidine(1128) to cytosine(1128) substitution generating a Bsi EI restriction site, which was used to genotype the subjects for the Leu7Pro polymorphism, as described previously (15). The PCR products were digested by Bsi EI (New England Biolabs, Inc. Beverly, MA, USA) and digestions were analyzed by electrophoresis on 2% agarose gel.

#### 15 Assessment of carotid atherosclerosis

The high-resolution B-mode ultrasonographic imaging protocol was designed to ensure the valid and reliable identification of arterial carotid references and the definition of near-wall and far-wall interfaces, as described previously in more detail (20-21,24). Briefly, the carotid artery was divided into two segments on the basis of arterial anatomy and geometry. The key anatomic features defining these segments were the proximal origin of the bulb (carotid bifurcation) and the tip of the flow divider, which separates internal from external carotid arteries. In longitudinal arterial images, the adventitia-media and the intima-lumen interfaces on the far wall were the specific anatomic boundaries defining the IMT. Two certified sonographers performed the carotid ultrasound examinations. A Biosound Phase Two ultrasound device equipped with a 10-MHz annular array probe was used. Video-recorded examinations were quantitatively analyzed at a central laboratory using a computer-assisted reading procedure (24-25). The mean

maximum of the far wall bilaterally was used as the measurement of the common carotid IMT.

#### Statistical methods

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Our a priori hypothesis was that the subjects having Pro7 substitution in preproNPY have higher mean IMT compared to the subjects having wild type preproNPY (Leu7/Leu7). Associations of Leu7Pro polymorphism with continuous variables were calculated using Student's t-test and for categorized variables by Chi square test. The association of common carotid IMT with Leu7Pro polymorphism was further analyzed by analysis of covariance (ANCOVA) controlling for the effects of selected covariates. Variables with skewed distribution (eg. carotid IMT, insulin) were analyzed after logarithmic transformation. P-value equal or less than 0.05 was considered statistically significant. All statistical analyses were conducted with procedures from SPSS-Unix.

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#### Results

The frequency of C1128 allele frequencies was not significantly different between non-diabetic (14.3 %) and diabetic (9.9%,  $p=0.36$ ) groups. The characteristics of non-diabetic and diabetic subjects for Leu7/Leu7 and Pro7/- groups are presented in Tables 1a-b. No differences in age, gender, body mass index, waist-to-hip-ratios, blood pressure levels and the frequencies of macrovascular disease were found between the genotype groups within the non-diabetic and diabetic groups. LDL-cholesterol was higher in non-diabetic subjects with Leu7/Pro-polymorphism than in those without ( $p=0.05$ ), as we have reported previously (15). Although apolipoprotein B levels tended to be higher in Pro7/- group than in Leu7/Leu7-group, the differences were not statistically significant. Our previous study included only lean subjects without any medication known to affect cholesterol metabolism (like beta-blockers or diuretics) of the present non-diabetic group (15). In other

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lipoproteins no evident differences were found, and interestingly, in diabetic patients there was no association with serum cholesterol, even when subjects were analyzed according to median body mass index (data not shown).

- 5 The mean common carotid IMT was about 25 % higher in diabetic patients with Pro7 allele than in those without it ( $p=0.004$ ) and the respective increase in IMT was 9 % in non-diabetic subjects ( $p=0.156$ ). In the analysis of covariance both groups combined (Table 2) the independent predictors of common carotid IMT were age, Pro7 allele, diabetes, systolic blood pressure, and macrovascular disease.
- 10 Furthermore, those diabetic patients having the Pro7 substitution in the preproNPY had significantly accelerated rate of diabetic retinopathy ( $p=0.04$ ), when compared to diabetics with the Leu7/Leu7-genotype.

#### Discussion

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- Our findings based on elderly Finnish non-diabetic and diabetic subjects indicates that the Pro7 allele of preproNPY is strongly associated with increased carotid atherosclerosis, and even more markedly in diabetic patients. This finding is of importance, because an increase in the thickness of IMT of carotid arteries increases the risk for cardiovascular events in a linear fashion even before clinical
- 20 manifestations of cardiovascular diseases (26). In addition, the presence of Pro7 polymorphism in the preproNPY was significantly associated with the rate of diabetic retinopathy. The Pro7 allele was also associated with high serum LDL cholesterol levels and apolipoprotein B-levels in lean non-diabetic subjects (15),
- 25 but this was not found in diabetic patients regardless of their body weight.

- Type 2 diabetes is a state characterized by markedly increased risk of atherosclerosis and although known risk factors contribute largely to the occurrence of diabetic macrovascular diseases (27), a large proportion of this vascular burden
- 30 remains unexplained and search for other potential environmental, metabolic and

genetic contributors are warranted. In this study we show for the first time that diabetic patients with Pro7 allele have higher carotid IMT than those with Leu7/Leu7-genotype. Although this finding was based on a limited number of subjects, the lack of association of Pro7 allele with other risk factors measured in diabetic patients makes the finding more intriguing. As non-diabetic control group included subjects with impaired glucose tolerance as any population-based study does and therefore, glucose tolerance is in a way continuum in this study population, we combined the groups in order to increase the statistical power of the study for the analysis of covariance. In this analysis age, diabetes, systolic blood pressure and clinical macrovascular disease were, as previously reported (21), powerful explanatory variables of carotid IMT. Interestingly, the effect of NPY genotype remained statistically significant in this analysis. Other cardiovascular risk factors except fasting insulin in non-diabetic subjects were not associated with NPY genotype in either group. The selective mortality may cause bias in the interpretation, as in any cross-sectional analysis. However, as LDL-cholesterol-levels were constantly higher during the whole 10-year follow-up in lean non-diabetic control subjects with Pro7 allele and, on the other hand, the genotype effect on carotid IMT was more marked in diabetic patients who had high cardiovascular mortality from the time of diagnosis (18), it is likely that this study under-estimates this association.

Why could then NPY enhance the development of atherosclerosis? First, this effect may be mediated by the effects of the PreproNPY genotype on LDL-cholesterol metabolism (15). However, this effect is modulated by body weight (15) and as judged from the present study, no effect was seen in Type 2 diabetic patients in this regard (more detailed analysis of lipoproteins assessed either cross-sectionally or longitudinally gave no further insights in this regard). Second, NPY may have angiogenic properties that could be implicated in the development of atherosclerosis. NPY has been shown to act as a smooth muscle mitogen (28), to stimulate attachment, migration, DNA synthesis (29), and the formation of capillary

tubes by human endothelial cells (4). Minor proportion of circulating NPY level is derived from endothelial cells and this endothelially derived NPY may act as an autocrine angiogenic factor even at very low concentrations (4). Subjects with Pro7 substitution in preproNPY may therefore be predisposed to increased arterial wall thickening seen as increased intima-media thickening of carotid arteries, because of impaired function of endothelial NPY. Third, NPY is an important modulator of autonomic nervous system. Majority of circulating NPY is derived from the perivascular sympathetic nerve endings, and the level of NPY is correlated to those of norepinephrine (30). Autonomic nervous dysfunction is an independent predictor of cardiovascular mortality in patients with Type 2 diabetes, as demonstrated from this study population (22). The mechanisms behind cardiovascular diseases and autonomic nervous dysfunction are speculative, but our unpublished observations suggest that cardiac autonomic regulation is altered in subjects with those with Pro7 substitution in the preproNPY. Therefore, we suggest that atherosclerosis may be associated with gene(s) involved in vascular development, lipid metabolism and autonomic nervous function and the recently found gene variant (15) in NPY is the first one in this respect shown to be related to accelerated atherosclerosis.

In conclusion, these results indicate that the presence of Pro7 substitution in the preproNPY is associated with ultrasonographically assessed carotid atherosclerosis in Finnish diabetic and non-diabetic subjects. Furthermore, this study provides first evidence that the Pro7 in the preproNPY is also associated with increased rate of diabetic retinopathy in NIDDM (Type 2 diabetes) patients, which could be potential target for drug development.

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It will be appreciated that the methods of the present invention can be incorporated in the form of a variety of embodiments, only a few of which are disclosed herein. It will be apparent for the specialist in the field that other embodiments exist and do not depart from the spirit of the invention. Thus, the described embodiments are illustrative and should not be construed as restrictive.

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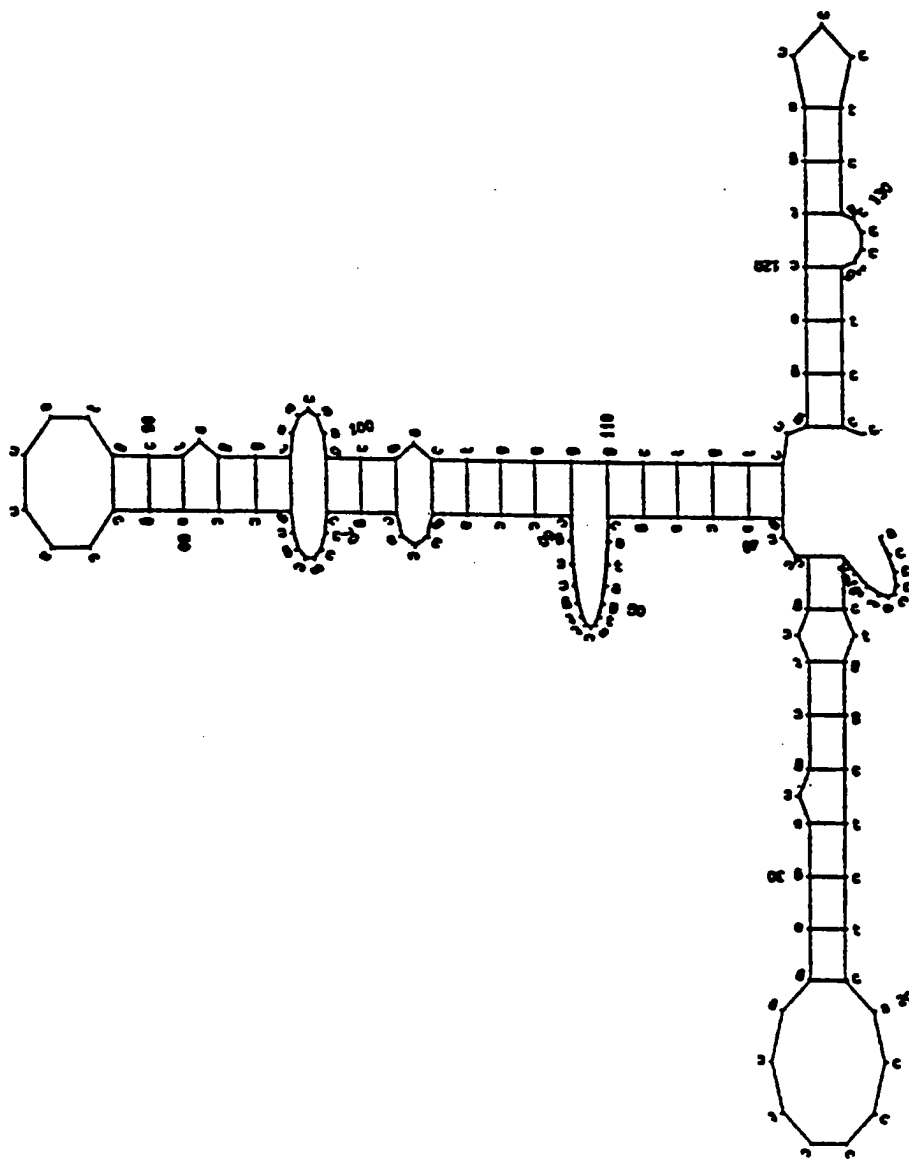
Scheme 1

Predicted secondary structure of preproNPY mRNA. The Scheme shows the predicted structure of the 5' end (1 to 138 bases) of the full preproNPY mRNA sequence published in GenBank Accession No. K01911. The secondary structure was predicted by using the MFOLD program of the Genetics Computer Group of the University of Wisconsin.

Squiggle plot of: osa1.mfold February 7, 19100 12:46

(Linear) MFOLD of: osa1.seq T: 37.0 Check: 5173 from: 1 to: 138 February 7, 19100 12:43

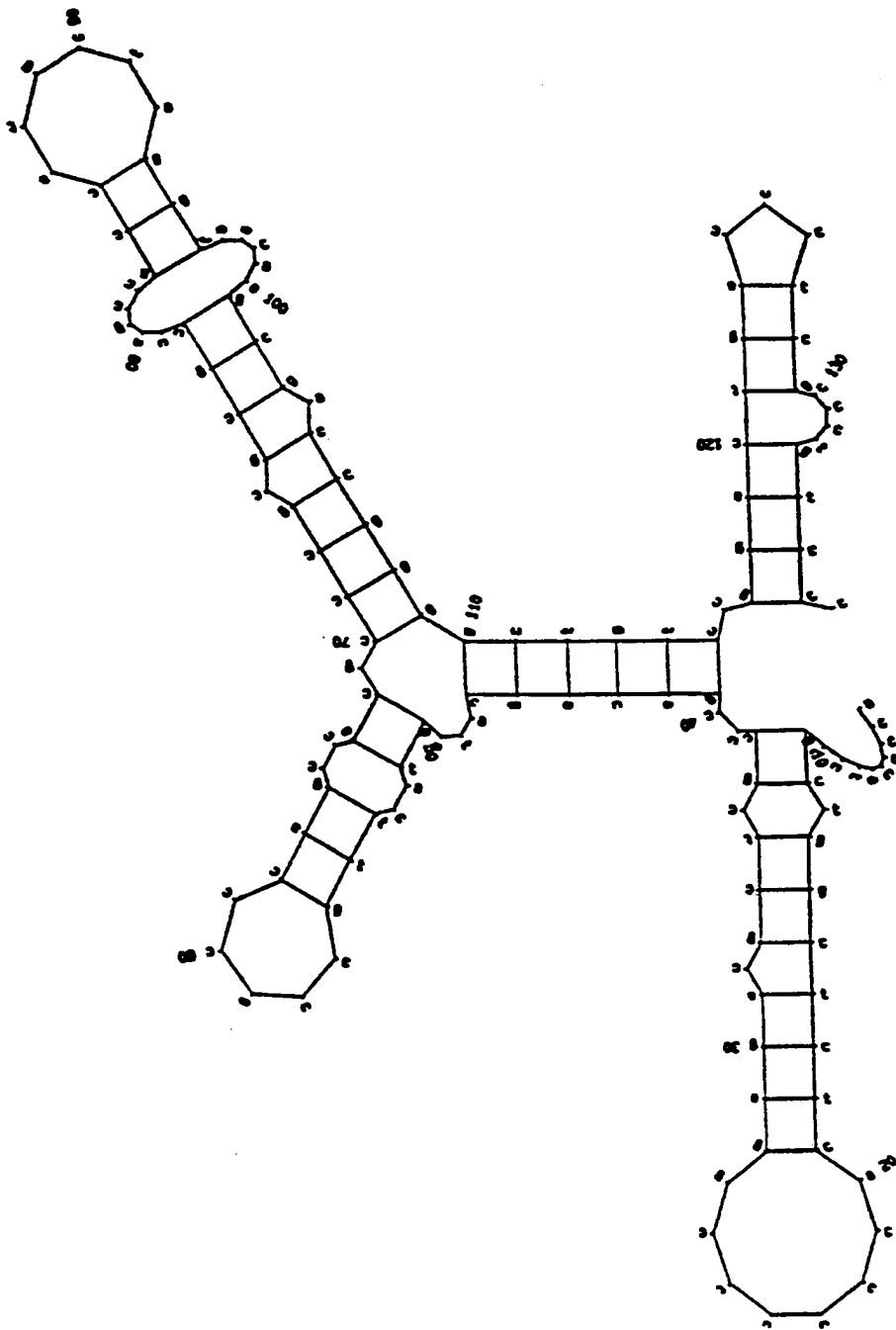
Length: 138 Energy: -28.4



Scheme 2

Predicted secondary structure of preproNPY mRNA. The Scheme shows the predicted structure of the 5' end (1 to 138 bases) of the full preproNPY mRNA sequence published in GenBank Accession No. K01911. The secondary structure was predicted by using the MFOLD program of the Genetics Computer Group of the University of Wisconsin. The mutated base T to C is base number 106.

Squiggle plot of: osa2.mfold February 7, 1990 14.11  
(Linear) MFOLD of: osa2.seq T: 37.0 Check: 4340 from: 1 to: 138 February 7, 1990 14:07  
Length: 138 Energy: -26.4



**Table 1a.** The clinical characteristics of the study population according to Leu7/Pro-genotype in nondiabetic subjects

Characteristic	Leu7/Leu7 n=90	Pro7/- n=15	p-value
Age (years)	65.5±0.6	65.5±1.1	0.982
Male gender (n,%)	43 (48)	5 (33)	0.298
Body-mass index (kg/m <sup>2</sup> )	27.8±0.5	28.4±1.2	0.611
Macrovascular disease (n,%)	11(12)	4(27)	0.139
Smoking history (n, %))	23(26)	5(33)	0.528
Treatment for hypertension (n, percentage)	26 (29)	6(40)	0.387
Systolic blood pressure (mmHg)	149±2	148±3	0.863
Diastolic blood pressure (mmHg)	85±1.1	85±3	0.847
Fasting serum insulin (mU/L)	11.0±0.6	14.8±2.2	0.056
Impaired glucose tolerance (n, %)	11 (12)	1(7)	0.531
Mean of common carotid IMT (mm)	1.04±0.02	1.14±0.4	0.156
Serum apolipoprotein B (mg/L)	1.04±0.03	1.12±0.08	0.285
Serum HDL cholesterol (mmol/L)	1.34±0.03	1.27±0.08	0.403
Serum LDL cholesterol (mmol/L)	4.11±0.09	4.61±0.30	0.05
Serum total cholesterol (mmol/L)	6.29±0.11	6.72±0.37	0.153
Serum triglycerides (mmol/L)	1.81±0.12	1.65±0.18	0.811
Waist-to-hip ratio	0.91±0.01	0.91±0.03	0.926

**Table 1b.** The clinical characteristics of the study population according to Leu7/-Pro-genotype in diabetic subjects

Characteristic	Leu7/Leu7 n=73	Pro7/- n=8	p-value
Age (years)	67.1±0.7	66.5±1.2	0.765
Male gender (n, %)	36 (49)	5 (63)	0.479
Body-mass index (kg/m <sup>2</sup> )	29.4±0.6	27.6±4.1	0.344
Macrovascular disease (n, %)	28 (38)	5(63)	0.187
Smoking history (n, %)	25(34)	2(25)	0.598
Treatment for hypertension (n, percentage)	40(55)	5(63)	0.677
Systolic blood pressure (mmHg)	154± 2.8	150±10.3	0.637
Diastolic blood pressure (mmHg)	84±2	87±4	0.482
Fasting serum insulin (mU/L)	15.0±0.9	15.7±3.7	0.823
Mean of common carotid IMT (mm)	1.18±0.03	1.58±0.21	0.004
Serum apolipoprotein B (mg/L)	1.17±0.03	1.00±0.09	0.10
Serum HDL cholesterol (mmol/L)	1.11±0.03	1.27±0.12	0.142
Serum LDL cholesterol (mmol/L)	4.09±0.10	3.66±0.27	0.204
Serum total cholesterol (mmol/L)	6.44±0.16	5.95±0.36	0.325
Serum triglycerides (mmol/L)	2.62±0.22	2.09±0.34	0.455
Waist-to-hip ratio	0.94±0.01	0.98±0.03	0.222

**Table 2.** Analysis of covariance for mean carotid intima-media-thickness adjusting for the effects of Leu7/Pro polymorphism and covariates in the combined cohort

Risk Factor	F-value	Significance
Age	7.744	0.006
Gender	2.866	0.092
Diabetes	3.960	0.048
NPY Leu7/Pro	5.165	0.024
Macrovascular disease	4.278	0.040
Smoking history	2.225	0.138
Systolic blood pressure	5.754	0.018
LDL-cholesterol	0.142	0.707

---

2-way interaction: diabetes X NPY Leu7/Pro F=0.174, p=0.677

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cardiovascular mortality for men screened in the Multiple Risk Factor Intervention  
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## CLAIMS

1. A method for diagnosing a person's susceptibility for having an increased risk for the development of atherosclerosis, said method comprising determining whether  
5 said subject has a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, said polymorphism being indicative of an increased risk for the development of atherosclerosis.
- 10 2. The method according to claim 1 wherein said person has diabetes.
3. A method for diagnosing a diabetic person's susceptibility for having an increased risk for the development of diabetic retinopathy, said method comprising determining whether said subject has a polymorphism in the signal peptide part of  
15 the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, said polymorphism being indicative of an increased risk for the development of diabetic retinopathy.
- 20 4. A method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis according to claim 1 or 2, for the prevention of developing atherosclerosis, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.
- 25 5. The method according to claim 4 wherein said agent is a pharmaceutical aimed to modulate synthesis, secretion or metabolism of the endogenous NPY, or to interact in a specific manner at NPY target sites by modulating effects of NPY with specific NPY receptor proteins.

6. The method according to claim 4 wherein said agent is a pharmaceutical aimed to modulate gene expression of normal or mutated NPY gene.

7. A method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis according to claim 1 or 2, for the prevention of developing atherosclerosis, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY sequence.

8. A method for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy according to claim 3, for the prevention of developing diabetic retinopathy, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

9. The method according to claim 8 wherein said agent is a pharmaceutical aimed to modulate synthesis, release or metabolism of the endogenous NPY, or to interact in a specific manner at NPY target sites by modulating effects of NPY with specific NPY receptor proteins.

10. The method according to claim 8 wherein said agent is a pharmaceutical aimed to modulate gene expression of normal or mutated NPY gene.

11. A method for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy according to claim 3, for the prevention of developing diabetic retinopathy, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY sequence.

12. A method to investigate or screen pharmaceuticals or genetic aims useful in the treatment of atherosclerosis or diabetic retinopathy, by using an animal model including a transgenic animal which carries a human DNA sequence comprising a

nucleotide sequence encoding a prepro-neuropeptide Y (preproNPY) or part thereof encoding mature human NPY peptide, where the leucine amino acid in position 7 of the signal peptide part of said preproNPY i) is unchanged or ii) has been replaced by proline.

5

13. A method to investigate or screen pharmaceuticals or genetic aims useful in the treatment of atherosclerosis or diabetic retinopathy, by using an animal model including a transgenic animal, which carries a DNA sequence comprising a nucleotide sequence encoding otherwise normal mouse NPY sequence or part thereof encoding mature mouse NPY peptide, but in which the nucleotide sequence encoding the mouse signal peptide is replaced by human signal peptide sequence encoding either normal or mutated human signal peptide.
- 10

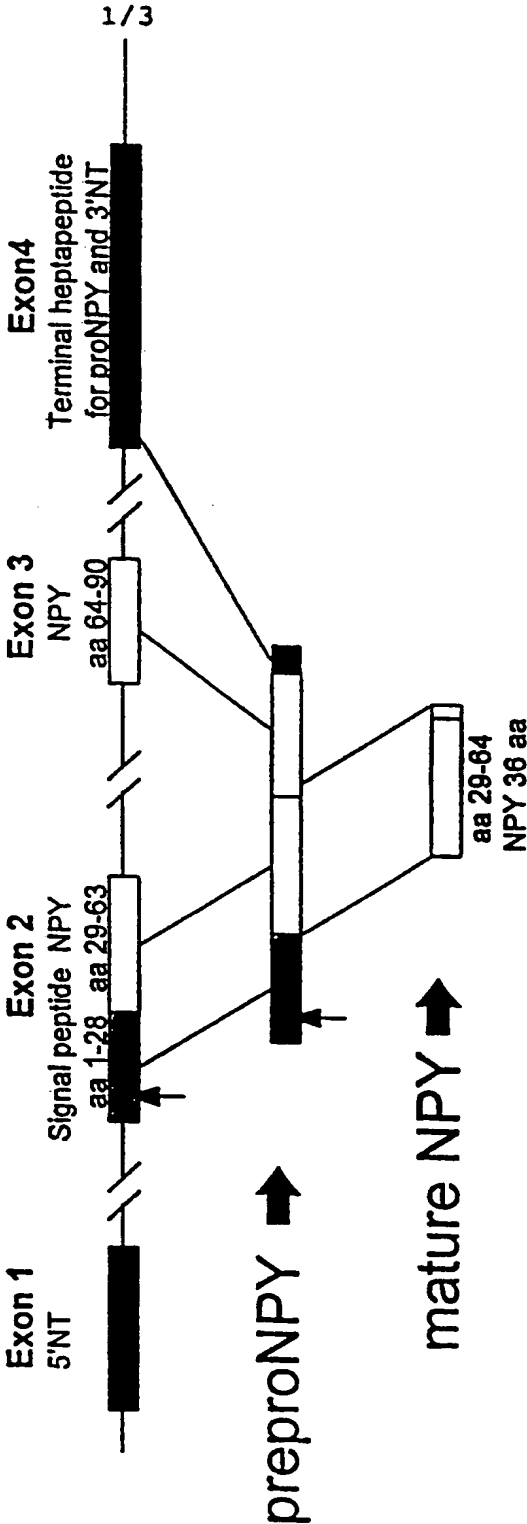


FIG. 1a

## HUMAN NEUROPEPTIDE Y (NPY) GENE

## EXON 1 (M14295)

1 cgcgttcttc aggcagtgcc tggggcgagg ggggtggggt gtgggtggct ccctaagtcg  
61 acactcgtgc ggctgcgggt ccagcccccct ccccccgcca ctcaggggag ggaagtggcg  
121 ggtgggagtc acccaagcgt gactgcccga ggcccctcct gccgcggcga ggaagtcca  
181 taaaagccct gtcgcgaccc gctctctgca CCCCATCCGC TGGCTCTCAC CCCTCGGAGA  
241 CGCTCGCCCG ACAGCATAGT ACTTGCCGCC CAGCCACGCC CGCGCGCCAG CCACCGTGAG  
301 tgctacgacc cgtctgtcta ggggt

## EXON 2 (M14296)

1 cccgtccggt gagccttctg tgccctgcagA TGCTAGGTAA CAAGCGACTG GGGCTGTCCG  
61 GACTGACCCT CGCCCTGTCC CTGCTCGTGT GCCTGGGTGC GCTGGCCGAG GCGTACCCCT  
121 CCAAGCCGGA CAACCCGGGC GAGGACGCAC CAGCGGAGGA CATGGCCAGA TACTACTCAG  
181 CGCTGCGACA CTACATCAAC CTCATCACCA GGCAGAGgtg ggtgggaccg cgggaccgat  
241 tccggga

## EXON 3 (M14297)

1 acttgcttta aaagactttt ttttttccag ATATGGAAAA CGATCTAGCC CAGAGACACT  
61 GATTTCAGAC CTCTTGATGA GAGAAAGCAC AGAAAATGTT CCCAGAACTC Ggtatgacaa  
121 ggcttgtgat ggggacattg tt

## EXON 4 (M14298)

1 CCTTACATGC TTTGCTTCTT ATGTTTTACA Ggcttgaaga ccctgcaatg tggatgatggg  
61 aaatgagact tgctctctgg ccttttccta ttttcagccc atatttcacg gtgtaaaacg  
121 agaatccacc catcctacca atgcatgcag ccactgtgct gaattctgca atgttttcct  
181 ttgtcatcat tgtatatatg tgtgttttaa taaagtatca tgcattcaaa agtgtatcct  
241 cctcaatgaa aaatctatta caatagttag gattattttc gttaaactta ttattaacaa

FIG. 1b

## HUMAN NEUROPEPTIDE Y (NPY) mRNA

K01911

1    accccatccg ctggctctca cccctcggag acgctcgccc gacagcatag tacttgccgc  
61    ccagccacgc ccgcgcgccg gccaccatgc taggtaacaa gcgacggggg ctgtccggac  
121   tgaccctcgc cctgtccctg ctctgtgtgc tgggtgcgct ggccgaggcg taccctcca  
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241   tgcgacacta catcaacctc atcaccaggc agagatatgg aaaacgatcc agcccagaga  
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↓

FIG. 1c

1  
SEQUENCE LISTING

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<120> Diagnosis of a Person's Risk of Developing  
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Y Gene.

&lt;130&gt; AP2617

&lt;140&gt;

&lt;141&gt;

&lt;150&gt; US 09/291,994

&lt;151&gt; 1999-04-15

&lt;160&gt; 6

&lt;170&gt; PatentIn Ver. 2.0

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WO 00/63430

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           20                  25                  30  
 Pro Asp Asn Pro Gly Glu Asp Ala Pro Ala Glu Asp Met Ala Arg Tyr  
           35                  40                  45  
 Tyr Ser Ala Leu Arg His Tyr Ile Asn Leu Ile Thr Arg Gln Arg Tyr  
   50                  55                  60  
 Gly Lys Arg Ser Ser Pro Glu Thr Leu Ile Ser Asp Leu Leu Met Arg  
  65                  70                  75                  80  
 Glu Ser Thr Glu Asn Val Pro Arg Thr Arg Leu Glu Asp Pro Ala Met  
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 Trp

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/FI 00/00260

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
IPC7: C12Q 1/68 // A61P 009/10 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
IPC7: C12Q		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
SE,DK,FI,NO classes as above		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	NATURE MEDICINE, Volume 4, No 12, December 1998, Matti K. Karvonen et al, "Association of a leucine(7)-to-proline(7) polymorphism in the signal peptide of neuropeptide Y with high serum cholesterol and LDL cholesterol levels" page 1434 - page 1437	1-2,4-7, 12-13
Y	--	3,8-11,12-13
Y	CLINICAL SCIENCES, Volume 114, 1996, Emily Y. Chew et al, "Association of Elevated Serum Lipid Levels With Retinal Hard Exudate in Diabetic Retinopathy" page 1079 - page 1084	3-4,8-11, 12-13
	--	
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
Date of the actual completion of the international search		Date of mailing of the international search report
20 Sept 2000		25-09-2000
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. +46 8 666 02 86		Authorized officer  Patrick Andersson/ELY Telephone No. +46 8 782 25 00

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 00/00260

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DIABETES, Volume 45, No 3, June 1996, Hideki Ito et al, "Risk Factor Analyses for Macrovascular Complication in Nonobese NIDDM Patients"  --	3-4,8-11, 12-13
A	Diabetes Research and Clinical Practise, Volume 21, 1993, Hiroo Ueda et al, "Importance of serum cholesterol level in development of diabetic autonomic neuropathy" page 123 - page 126  -- -----	3-4,8-11, 12-13

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/FI00/00260

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 1-3 and 4-11  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
see extra sheet \*
2. ☒ Claims Nos.: 4-6  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
  
see extra sheet \*\*
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a):

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see extra sheet \*\*\*

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/FI00/00260

continuation of box I

\*

Claims 1-3 relates to a diagnostic method and claims 4-11 a method of treatment of the animal or human body by therapy. See PCT Rule 39(iv). Nevertheless, a search has been executed for these claims. The search has been based on the alleged effects.

\*\*

Claims no. 4-6 relates to treatment of atherosclerosis using agents effecting polymorphic prepro-NPY signal peptides, the description or the claims does not give any examples of such agents, moreover they could be agents known for treatment of atherosclerosis. Therefore, the claims is not considered to comply with PCT article 5(disclosure) or PCT article 6 (clarity).

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/FI00/00260

continuation of box II

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According to PCT rule 13.2, an international application shall relate to one invention only or a group of inventions linked by one or more of the same or corresponding "special technical features", i.e. features that define a contribution which each of the inventions considered as a whole makes over the prior art.

The claimed invention relates to a method of diagnosis of susceptibility for having an increased risk of either atherosclerosis or diabetic retinopathy. In the method polymorphism in the signal peptide part of prepro- neuro peptide Y (NPY) is detected.

Special technical features unifying the methods of diagnosing atherosclerosis or diabetic retinopathy could be:

1. detection of polymorphism in prepro-NPY signal peptide or,
2. some link between atherosclerosis and diabetic retinopathy, making diabetic retinopathy a subgroup of different states developed together with, or as a consequent of, atherosclerosis.

In Karvonen MK et al, see search report, polymorphism in the signal peptide part NPY is associated with high levels of total cholesterol and LDL. High levels of total and LDL cholesterol are important risk factors in the development of atherosclerosis. It is evident from Karvonen et al, that a person having a mutation in the signal peptide part of NPY is susceptible for an increased risk of atherosclerosis. Consequently, technical feature 1 is known through Karvonen thus disqualified as a unifying feature, technical feature 2 disqualifies as well since the association between NPY polymorphism and atherosclerosis is considered evident. No other possible technical feature has been found.

Consequently, the following inventions have been found:

Invention 1, claims 1-2, 4-7 completely, 12-13 partially methods for diagnosing, treating and screening related to atherosclerosis

Invention 2, claims 3-4, 8-11 completely, 12-13 partially methods for diagnosing, treating and screening related to diabetic retinopathy.

An additional fee was paid. Both inventions have been searched.